

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

PEDRO E. FAJARDO

Application No.:

Filed: July 6, 2001

For: **MASS STORAGE DEVICE**

Art Group:

Examiner:

11002 U.S. PTO
09/928,323
07/06/01



INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56 and in accordance with 37 C.F.R. § 1.97, enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of the Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: July 06, 2001



Kerry D. Tweet, Reg. No. 45,959

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Los Angeles, California 90025
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Express Mail mailing label number EL54413666645

Date of Deposit 7-6-01

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Angie C. Sun 7-6-01
Signature Date

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1,320.00)

Complete if Known

| | |
|----------------------|------------------|
| Application Number | |
| Filing Date | July 6, 2001 |
| First Named Inventor | Pedro E. Fajardo |
| Examiner Name | |
| Group/Art Unit | |
| Attorney Docket No. | 42390P9960 |



METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to credit any overpayments to:

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

Charge Any Additional Fee(s) Required
Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

Applicant claims small entity status.
See 37 CFR 1.27.

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|---------------------|--------------|----------|----------|--------------------|----------|------------------------|----------|
| | | 101 | 710 | 201 | 355 | Utility filing fee | 710.00 |
| | | 106 | 320 | 206 | 160 | Design filing fee | |
| | | 107 | 490 | 207 | 245 | Plant filing fee | |
| | | 108 | 710 | 208 | 355 | Reissue filing fee | |
| | | 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) | | | | (\$ 710.00) | | | |

2. EXTRA CLAIM FEES

| Total Claims | Independent Claims | Extra Claims | Fee from below | Fee (\$) |
|--------------------|--------------------|--------------|----------------|----------|
| 45 | 5 | 20 - 3 = 17 | 25 X 18.00 = | \$450.00 |
| | | 3 = 2 | 80.00 = | \$160.00 |
| Multiple Dependent | | | | |

**or number previously paid, if greater. For Reissues, see below

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | |
|---------------------|--------------|----------|----------|--------------------|---|
| | | 103 | 18 | 203 | 9 Claims in excess of 20 |
| | | 102 | 80 | 202 | 40 Independent claims in excess of 3 |
| | | 104 | 260 | 204 | 135 Multiple Dependent claim, if not paid |
| | | 109 | 80 | 209 | 40 **Reissue independent claims over original patent |
| | | 110 | 18 | 210 | 9 **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | | | (\$ 610.00) | |

3. ADDITIONAL FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|---------------------|--------------|----------|----------|----------|----------|--|----------|
| | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| | | 139 | 130 | 139 | 130 | Non-English specification | |
| | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| | | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| | | 115 | 110 | 215 | 55 | Extension for response within first month | |
| | | 116 | 390 | 216 | 195 | Extension for response within second month | |
| | | 117 | 890 | 217 | 445 | Extension for response within third month | |
| | | 118 | 1,390 | 218 | 695 | Extension for response within fourth month | |
| | | 128 | 1,890 | 228 | 945 | Extension for response within fifth month | |
| | | 119 | 310 | 219 | 155 | Notice of Appeal | |
| | | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| | | 121 | 270 | 221 | 135 | Request for oral hearing | |
| | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| | | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| | | 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| | | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| | | 143 | 440 | 243 | 220 | Design issue fee | |
| | | 144 | 600 | 244 | 300 | Plant issue fee | |
| | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| | | 123 | 130 | 123 | 130 | Petitions related to provisional applications | |
| | | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| | | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| | | 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| | | 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | | 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| | | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | | | | |
| Other fee (specify) | | | | | | | |

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|----------------|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Kerry D. Tweet | Registration No. (Attorney/Agent) | 45,959 | Telephone | (503) 684-6200 |
| Signature | | | | Date | 07/06/01 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →

PTO/SB/06A (10-96)
Approved for use through 10/31/99. OMB 0651-0031.

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|---|------------------|----|---|---|--|--------------------|--|-------------|--------------|----------------------|------------------|----------------|--|---------------|--|------------------------|------------|
| <p>Substitute for form 1449A/PTO</p> <h1>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h1> | | | | <p><i>Complete if Known</i></p> <table border="1"> <tr> <td>Application Number</td> <td></td> </tr> <tr> <td>Filing Date</td> <td>July 6, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Pedro E. Fajardo</td> </tr> <tr> <td>Group Art Unit</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>42390P9960</td> </tr> </table> | | Application Number | | Filing Date | July 6, 2001 | First Named Inventor | Pedro E. Fajardo | Group Art Unit | | Examiner Name | | Attorney Docket Number | 42390P9960 |
| Application Number | | | | | | | | | | | | | | | | | |
| Filing Date | July 6, 2001 | | | | | | | | | | | | | | | | |
| First Named Inventor | Pedro E. Fajardo | | | | | | | | | | | | | | | | |
| Group Art Unit | | | | | | | | | | | | | | | | | |
| Examiner Name | | | | | | | | | | | | | | | | | |
| Attorney Docket Number | 42390P9960 | | | | | | | | | | | | | | | | |
| Sheet | 1 | of | 1 | | | | | | | | | | | | | | |

Substitute for form 1449A/PTO
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

Complete if Known

| | |
|------------------------|---------------|
| Application Number | |
| Filing Date | July 6, 2001 |
| First Named Inventor | Pedro E. Faja |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 42390P9960 |

OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)

| Exam. Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|----------------|----------|---|
| 1 | | DAVID COURSEY, Learn my trick for hassle free file transfers from PC to PC. |
| | | WWW.zdnet.com/anchordesk/stories/story/010738 2696362 00 html. 3 Pgs. |
| 2 | | LAPLINK, The Fast and Easy Utility for File Transfer & Migration. |
| | | www.laplink.com/products/pcsync/overview.asp, 2 pgs. |
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| Examiner Signature | Date Considered |
|-----------------------|--------------------|

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.